

Note: Institution did NOT submit revisions through the Prior Year Data Revision System for selected collection year and survey.

Finance 2013-14

Institution: Santa Clara University (122931)

User ID: inovas1

Finance - Private not-for-profit institutions and Public institutions using FASB standards

FASB-Reporting Institutions

General Information - Fiscal Year and Audit

To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statements (GPFS). Please refer to the instructions specific to each screen of the survey for details and references.

1. Fiscal Year Calendar

This report covers financial activities for the 12-month fiscal year: (The fiscal year reported should be the most recent fiscal year ending before October 1, 2014.)

Beginning: month/year (MMYYYY)	Month: <input type="text" value="7"/>	Year: <input type="text" value="2013"/>
And ending: month/year (MMYYYY)	Month: <input type="text" value="6"/>	Year: <input type="text" value="2014"/>

2. Audit Opinion

Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above? (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

Unqualified
  Qualified (Explain in box below)
  Don't know (Explain in box below)

3. Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

Yes (report endowment assets)

 No

4. Intercollegiate Athletics

If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?


Auxiliary enterprises

 Student services

 Does not participate in intercollegiate athletics

 Other (specify in box below)

5. Does your institution account for Pell grants as pass through transactions (a simple payment on the student's account) or as federal grant revenues to the institution?

 Pass through (agency)
 Federal grant revenue
 Does not award Pell grants

You may use the space below to provide context for the data you've reported above.

Part A - Statement of Financial Position, Page 1

Fiscal Year: July 1, 2013 - June 30, 2014

If your institution is a parent institution then the amounts reported in Parts A and B should include ALL of your child institutions

Line No.	Assets, Liabilities, and Net Assets	Current year amount	Prior year amount
Assets			
01	Long-term investments	1,026,007,000	893,258,000
19	Property, plant, and equipment, net of accumulated depreciation	685,065,000	670,405,000
20	Intangible assets, net of accumulated amortization	0	0
02	Total assets	1,799,103,000	1,657,845,000
Liabilities			
03	Total liabilities	353,330,000	353,992,000
03a	Debt related to Property, Plant, and Equipment	224,887,000	233,959,000
Net assets			
04	Unrestricted net assets	695,200,000	659,068,000
05	Total restricted net assets	750,573,000	644,785,000
05a	Permanently restricted net assets	284,671,000	279,965,000
05b	Temporarily restricted net assets	465,902,000	364,820,000
06	Total net assets (CV=A04+A05)	1,445,773,000	1,303,853,000

You may use the space below to provide context for the data you've reported above.

Part A - Statement of Financial Position, Page 2

Fiscal Year: July 1, 2013 - June 30, 2014

Line No.	Plant, Property and Equipment	Ending balance	Prior year Ending balance
11	<u>Land and land improvements</u>	52,653,000	50,482,000
12	<u>Buildings</u>	631,957,000	612,417,000
13	Equipment, including art and <u>library</u> collections	193,859,000	186,677,000
15	<u>Construction in Progress</u>	10,023,000	12,527,000
16	Other	90,646,000	76,322,000
17	Total Plant, Property, and Equipment CV=[(A11+...A16)]	979,138,000	938,425,000
18	<u>Accumulated depreciation</u>	294,073,000	268,020,000
19	Property, Plant, and Equipment, net of accumulated depreciation (from A19)	685,065,000	670,405,000

You may use the space below to provide context for the data you've reported above.

Part B - Summary of Changes in Net Assets

Fiscal Year: July 1, 2013 - June 30, 2014

Line No.	Revenues, Expenses, Gains and Losses	Current year amount	Prior year amount
01	Total <u>revenues</u> and <u>investment return</u>	458,182,000	389,715,000
02	Total <u>expenses</u>	316,262,000	295,001,000
03	Other specific changes in net assets CV=[B04-(B01-B02)]	0	0
04	Change in <u>net assets</u>	141,920,000	94,714,000
05	Net assets, beginning of year	1,303,853,000	1,209,139,000
06	Adjustments to beginning of year net assets CV=[B07-(B04+B05)]	0	0
07	Net assets, end of year (from A06)	1,445,773,000	1,303,853,000

You may use the space below to provide context for the data you've reported above.

Part C - Scholarships and Fellowships

Fiscal Year: July 1, 2013 - June 30, 2014

DO NOT REPORT FEDERAL DIRECT STUDENT LOANS (FDSL) ANYWHERE IN THIS SECTION

Line No.	Scholarships and Fellowships	Current year amount	Prior year amount
01	<u>Pell grants (federal)</u>	3,067,000	3,012,000
02	<u>Other federal grants</u> Do NOT include FDSL amounts	2,050,000	1,620,000
03	<u>State grants</u>	4,986,000	5,124,000
04	<u>Local grants (government)</u>	0	0
05	<u>Institutional grants (funded)</u>	13,532,000	12,106,000
06	<u>Institutional grants (unfunded)</u>	66,137,000	60,856,000
07	Total scholarships and fellowships CV=[C01+...+C06]	89,772,000	82,718,000
08	<u>Allowances (scholarships) applied to tuition and fees</u>	79,669,000	72,962,000
09	<u>Allowances (scholarships) applied to auxiliary enterprise revenues</u>	0	0

You may use the space below to provide context for the data you've reported above.

Part D - Revenues and Investment Return

Fiscal Year: July 1, 2013 - June 30, 2014

Line No.	Source of Funds	Total Amount	Unrestricted	Temporarily restricted	Permanently restricted	Prior Year Total Amount
01	Tuition and fees (net of allowance reported in Part C, line 08)	229,570,000	229,570,000	0	0	215,523,000
Government Appropriations						
02	Federal appropriations	0	0	0	0	0
03	State appropriations	0	0	0	0	0
04	Local appropriations	0	0	0	0	0
Government Grants and Contracts						
05	Federal grants and contracts (Do not include FDSL)	4,723,000	4,723,000	0	0	4,661,000
06	State grants and contracts	151,000	151,000	0	0	62,000
07	Local government grants and contracts	92,000	92,000	0	0	28,000
Private Gifts, Grants and Contracts						
08	Private gifts, grants and contracts	36,484,000	4,356,000	27,861,000	4,267,000	39,667,000
08a	Private gifts	36,484,000	4,356,000	27,861,000	4,267,000	38,163,000
08b	Private grants and contracts	0	0	0	0	1,504,000
09	Contributions from affiliated entities	0	0	0	0	0
Other Revenue						
10	Investment return	134,891,000	22,597,000	111,858,000	436,000	84,175,000
11	Sales and services of educational activities	0	0			0
12	Sales and services of auxiliary enterprises (net of allowance reported in Part C, line 09)	35,230,000	35,230,000			33,106,000
13	Hospital revenue	0	0			0
14	Independent operations revenue	0	0	0	0	0
15	Other revenue CV=[D16-(D01+...+D14)]	17,041,000	16,914,000	124,000	3,000	12,493,000
16	Total revenues and investment return (from B01)	458,182,000	313,633,000	139,843,000	4,706,000	389,715,000
17	Net assets released from restriction	0	0	0	0	
18	Net total revenues, after assets released from restriction	458,182,000	313,633,000	139,843,000	4,706,000	389,715,000
19	12-month Student FTE from E12	10,069				10,961
20	Total revenues and investment return per student FTE CV=[D16/D19]	45,504				35,555

You may use the space below to provide context for the data you've reported above.

Part E - Expenses by Functional and Natural Classification

Fiscal Year: July 1, 2013 - June 30, 2014

Report Total Operating AND Non-Operating Expenses in this section

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
Line No.	Expenses by Functional Classification	Total amount	Salaries and wages	Benefits	Operation and maintenance of plant	Depreciation	Interest	All other	PY Total Amount
01	Instruction	127,550,000	75,348,000	20,736,000	8,789,000	4,777,000	1,988,000	15,912,000	118,190,000
02	Research	4,844,000	1,564,000	218,000	0	16,000	0	3,046,000	4,705,000
03	Public service	7,270,000	4,014,000	1,137,000	0	4,000	0	2,115,000	6,293,000
04	Academic support	37,623,000	14,871,000	3,794,000	4,412,000	4,746,000	1,377,000	8,423,000	33,484,000
05	Student services	46,596,000	17,082,000	4,952,000	5,771,000	5,107,000	1,252,000	12,432,000	44,312,000
06	Institutional support	59,739,000	25,378,000	6,983,000	2,874,000	4,846,000	998,000	18,660,000	56,129,000
07	Auxiliary enterprises	32,183,000	1,644,000	460,000	5,466,000	9,920,000	5,810,000	8,883,000	31,409,000
08	Net grant aid to students (net of allowances for tuition & fee and auxiliary enterprises)	457,000						457,000	479,000
09	Hospital services	0	0	0	0	0	0	0	0
10	Independent operations	0	0	0	0	0	0	0	0
11	Operation and maintenance of plant (see instructions)	0	7,565,000	2,682,000	-27,312,000	2,581,000	865,000	13,619,000	0
12	Other expenses CV=[E13-(E01+...+E11)]	0	0	0	0	0	0	0	0
13	Total expenses (from B02)	316,262,000	147,466,000	40,962,000	0	31,997,000	12,290,000	83,547,000	295,001,000
	Prior year total expenses	295,001,000	138,701,000	38,323,000		30,029,000	10,936,000	77,012,000	
14	12-month Student FTE from E12	10,069							10,961
15	Total expenses per student FTE CV=[E13/E14]	31,409							26,914

You may use the space below to provide context for the data you've reported above.

Institution: Santa Clara University (122931)

User ID: inovas1

Summary**Finance Survey Summary**

IPEDS collects important information regarding your institution. All data reported in IPEDS survey components become available in the IPEDS Data Center and appear as aggregated data in various Department of Education reports. Additionally, some of the reported data appears specifically for your institution through the College Navigator website and is included in your institution's Data Feedback Report (DFR). The purpose of this summary is to provide you an opportunity to view some of the data that, when accepted through the IPEDS quality control process, will appear on the College Navigator website and/or your DFR. College Navigator is updated approximately three months after the data collection period closes and Data Feedback Reports will be available through the [Data Center](#) and sent to your institution's CEO in November 2015.

Please review your data for accuracy. If you have questions about the data displayed below after reviewing the data reported on the survey screens, please contact the IPEDS Help Desk at: 1-877-225-2568 or ipedshelp@rti.org.

Core Revenues

Revenue Source	Reported values	Percent of total core revenues	Core revenues per FTE enrollment
Tuition and fees	\$229,570,000	54%	\$22,800
Government grants and contracts	\$4,966,000	1%	\$493
Private gifts, grants, and contracts	\$36,484,000	9%	\$3,623
Investment return	\$134,891,000	32%	\$13,397
Other core revenues	\$17,041,000	4%	\$1,692
Total core revenues	\$422,952,000	100%	\$42,005
Total revenues	\$458,182,000		\$45,504

Other core revenues include government appropriations (federal, state, and local), contributions from affiliated entities; sales and services of educational activities; and other sources. Core revenues exclude revenues from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

Core Expenses

Expense function	Reported values	Percent of total core expenses	Core expenses per FTE enrollment
Instruction	\$127,550,000	45%	\$12,668
Research	\$4,844,000	2%	\$481
Public service	\$7,270,000	3%	\$722
Academic support	\$37,623,000	13%	\$3,737
Institutional support	\$59,739,000	21%	\$5,933
Student services	\$46,596,000	16%	\$4,628
Other core expenses	\$457,000	0%	\$45
Total core expenses	\$284,079,000	100%	\$28,213
Total expenses	\$316,262,000		\$31,409

Other core expenses include net grant aid to students, net of discounts and allowances, and other expenses. Core expenses exclude expenses from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

	Calculated value
FTE enrollment	10,069

The full-time equivalent (FTE) enrollment used in this report is the sum of the institution's FTE undergraduate enrollment and FTE graduate enrollment (as calculated from or reported on the 12-month Enrollment component). FTE is estimated using 12-month instructional activity (credit and/or contact hours). All doctor's degree students are reported as graduate students.

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